

PTO-1390 (Rev. 02-2005)
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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 

ATTORNEY'S DOCKET NUMBER FR 030140 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) PRIORITY DATE CLAIMED

|   | TIONAL APPLICATION NO.<br>2004/003618   | INTERNATIONAL FILING DATE<br>November 1, 2004 | PRIORITY DATE CLAIMED November 7, 2003 |  |  |  |  |  |  |
|---|---|---|--|--|--|--|--|--|--|
| TITLE OF INVENTION  |   |   |  |  |  |  |  |  |  |
| VIDEO ENCODING METHOD AND DEVICE  APPLICANT(S) FOR DO/FO/US   |   |   |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Stephan Oliver MIETENS  |   |   |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |   |   |  |  |  |  |  |  |  |
| 1. 🗸  | 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |  |  |  |
| 2.   -  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |  |  |  |
| 3.  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |  |  |  |  |  |  |  |
| 4.  | The US has been elected (Article 31).   |   |  |  |  |  |  |  |  |
| 5.  | A copy of the International Application   | as filed (35 U.S.C. 371(c)(2))                |  |  |  |  |  |  |  |
|   | a. is attached hereto (required only if not communicated by the International Bureau).  |   |  |  |  |  |  |  |  |
|   | b.  has been communicated by the International Bureau.  |   |  |  |  |  |  |  |  |
|   | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |   |  |  |  |  |  |  |  |
| 6. $\square$  | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |  |  |  |  |  |  |  |
|   | a. is attached hereto.  |   |  |  |  |  |  |  |  |
|   | b. has been previously submitted under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |  |  |  |
| 7.  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |   |  |  |  |  |  |  |  |
|   | a. are attached hereto (required only if not communicated by the International Bureau).   |   |  |  |  |  |  |  |  |
|   | b. have been communicated by the International Bureau.  |   |  |  |  |  |  |  |  |
|   | c. have not been made; however, the time limit for making such amendments has NOT expired.  |   |  |  |  |  |  |  |  |
|   | d.  have not been made and w  | rill not be made.                             |  |  |  |  |  |  |  |
| 8. 📙  | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |   |  |  |  |  |  |  |  |
| 9. 🗸  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |   |  |  |  |  |  |  |  |
| 10.   | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).                    |   |  |  |  |  |  |  |  |
| Items   | 11 to 20 below concern document(s)  | or information included:                      |  |  |  |  |  |  |  |
| 11. 🔲   | An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.                   |  |  |  |  |  |  |  |
| 12. 🔽   | An assignment document for recording  | g. A separate cover sheet in compliance wit   | h 37 CFR 3.28 and 3.31 is included.    |  |  |  |  |  |  |
| 13.   | A preliminary amendment.  |   |  |  |  |  |  |  |  |
| 14. 📙   | An Application Data Sheet under 37 CFR 1.76.  |   |  |  |  |  |  |  |  |
| 15. 🔲   | A substitute specification.   |   |  |  |  |  |  |  |  |
| 16. 🔽   | A power of attorney and/or change of address letter.  |   |  |  |  |  |  |  |  |
| 17.   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.  |   |  |  |  |  |  |  |  |
| 18.   | A second copy of the published International Application under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |  |  |  |
| 19. 📙   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |  |  |  |
| 20. 🗸   | Other items or information: Express Mail Certificate; PTO/SB/96; Receipt Confirmation Postcard  |   |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

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| U.S. APPLICATION NO. (1 known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/IB2004/003618   |  |                              |  | ATTORNEY'S DOCKET NUMBER                |                            |                       |           |  |
|--|--|------------------------------|--|---|----------------------------|-----------------------|-----------|--|
| 101/12200 (1003010   |  |                              |  |   |                            | FR 030140             |           |  |
|  | ing fees have b  | CALCULATIONS                 | PTO USE ONLY   |   |                            |                       |           |  |
| 21. 🗾 Basicı   | national fee   | \$ 300.00                    |  |   |                            |                       |           |  |
| 22.  Examin If International pre PCT Article 33(1 All other situations   | )-(4)  | \$ 200.00                    |  |   |                            |                       |           |  |
| 23. Search<br>Search fee (37 CFF<br>International<br>International Searc<br>All other situations.  | R 1.445(a)(2)) h<br>I Searching Aut<br>n Report prepar               | \$ 400.00                    |  |   |                            |                       |           |  |
|  | OTAL OF 21, 2  |                              |  |   |                            | \$ 900.00             |           |  |
| sequence listin  | or specification<br>ng or computer<br>0 for each addi                |                              |  |   |                            |                       |           |  |
| Total Sheets   | Extra Sheets   |                              | Number of each additional 50 or fraction RA thereof (round up to a whole number) |   |                            |                       |           |  |
| - 100 =  | /50 =  |                              |  |   | × \$250                    | \$                    |           |  |
| Surcharge of \$130. claimed priority date  | 00 for furnishing<br>e (37 CFR 1.49                                  | g the oath or decl<br>2(h)). | aration  | later than 30 months f                  | from the earliest          | \$                    |           |  |
| CLAIMS   | NUME   | SER FILED                    | N  | NUMBER EXTRA                            | RATE                       | \$                    |           |  |
| Total claims   | 2  | - 20 =                       |  |   | x \$ 50                    | \$ 0.00               |           |  |
| Independent claims   | 2  | - 3 =                        |  | - · · · · · · · · · · · · · · · · · · · | x \$200                    | \$ 0.00               |           |  |
| MULTIPLE DEPEN   | DENT CLAIM(S   | S) (if applicable)           |  |   | + \$360                    | \$                    | 1         |  |
|  |  |                              |  | TOTAL OF ABOVE                          | CALCULATIONS =             | \$ 0.00               |           |  |
| Applicant claim  | s small entity s   | tatus. See 37 CFI            | R 1.27.  | . Fees above are redu                   | ced by 1/2.                |                       |           |  |
|  | ······································                               |                              |  | <del></del> .                           | SUBTOTAL =                 | \$ 900.00             |           |  |
| Processing fee of \$ claimed priority date   | 130.00 for furni<br>e (37 CFR 1.49                                   | shing the English<br>2(i)).  | transla  | ation later than 30 mon                 | ths from the earliest<br>+ | \$                    |           |  |
|  |  |                              |  | TOTAL                                   | L NATIONAL FEE =           | \$ 900.00             |           |  |
| Fee for recording the by an appropriate c  |  |                              |  | h)). The assignment mu<br>per property  | ust be accompanied +       | \$ 40.00              |           |  |
| f=   | ••   |                              |  | TOTAL F                                 | FEES ENCLOSED =            | \$ 940.00             |           |  |
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| a. A check i   | n the amount of  | \$                           |  | to cover the abo                        | ove fees is enclosed.      |                       |           |  |
| b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.   |  |                              |  |   |                            |                       |           |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 . A duplicate copy of this sheet is enclosed.   |  |                              |  |   |                            |                       |           |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not  |  |                              |  |   |                            |                       |           |  |
| be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status. |  |                              |  |   |                            |                       |           |  |
|  |  |                              |  | ponumy status.                          |                            | ///                   |           |  |
| SEND ALL CORRESPONDENCE TO:  |  |                              |  |   |                            |                       | home      |  |
| Corp   | Corporate Patent Counsel   |                              |  |   |                            |                       |           |  |
|  | Philips Electronics North America Corporation  Larry Liberchuk  NAME |                              |  |   |                            |                       |           |  |
| P.O. Box 3001 40,352   |  |                              |  |   |                            |                       |           |  |
| Briarcliff Manor, NY 10510 REGISTRATION NUMBER   |  |                              |  |   |                            |                       |           |  |

## 10/578072

## IAP12 Rec'd PCT/PTO 02 MAY 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

NIETENS

FR 030140

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

VIDEO ENCODING METHOD AND DEVICE

| CERTIFICATE OF EXPRESS MAILING  |           |        |        |  |  |  |  |  |
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| I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, PO Box, 1450, Alexandria, VA 22313-1450 |           |        |        |  |  |  |  |  |
| Jeanne Rusciano Typed Name  | Signature | e Kuse | icano  |  |  |  |  |  |
| L. //F  |           |        |        |  |  |  |  |  |